

# Webcast: Challenges in Compliance and Corporate Governance

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Our panelists discuss significant recent developments and forecast what to expect from the new U.S. presidential administration on topics ranging from data privacy and cybersecurity to antitrust, corporate governance, international trade, money laundering, securities fraud, white collar defense and investigations, and more. Our panelists also will provide practical tips for identifying and addressing key compliance risks and strengthening corporate compliance programs.

Topics to be discussed include:

- Global Enforcement and Regulatory Developments
- The Biden Administration's Expected Approach to Enforcement and Regulation
- Practical Recommendations for Improving Corporate Compliance
- DOJ and SEC Priorities, Policies, and Penalties
- Update on Key Governance Issues and Regulatory Requirements

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## MODERATOR:

**Joseph Warin**, a partner in Washington, D.C., is Co-Chair of the firm's White Collar Defense and Investigations practice and former Assistant U.S. Attorney in Washington, D.C. Mr. Warin is consistently recognized annually in the top-tier by *Chambers USA*, *Chambers Global*, and *Chambers Latin America* for his FCPA, fraud and corporate investigations acumen. In 2018 Mr. Warin was selected by *Chambers USA* as a "Star" in FCPA, and "a "Leading Lawyer" in the nation in Securities Regulation: Enforcement. *Global Investigations Review* reported that Mr. Warin has now advised on more FCPA resolutions than any other lawyer since 2008. *Who's Who Legal* and *Global Investigations Review* named Mr. Warin to their 2016 list of World's Ten-Most Highly Regarded Investigations Lawyers based on a survey of clients and peers, noting that he was one of the "most highly nominated practitioners," and a "'favourite' of audit and special committees of public companies." Mr. Warin has handled cases and investigations in more than 40 states and dozens of countries. His credibility at DOJ and the SEC is unsurpassed among private practitioners — a reputation based in large part on his experience as the only person ever to serve as a compliance monitor or counsel to the compliance monitor in three separate FCPA monitorships, pursuant to settlements with the SEC and DOJ: Statoil ASA (2007-2009); Siemens AG (2009-2012); and Alliance One International (2011-2013).

## PANELISTS:

**Roscoe Jones**, a counsel in Washington, D.C., is a member of the firm's Public Policy, Congressional Investigations, and Crisis Management groups. Mr. Jones formerly served

## Related People

[Thomas J. Kim](#)

[Kristen C. Limarzi](#)

[Adam M. Smith](#)

[Lori Zyskowski](#)

[Lora Elizabeth MacDonald](#)

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[Jason J. Mendro](#)

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as Chief of Staff to U.S. Representative Abigail Spanberger, Legislative Director to U.S. Senator Dianne Feinstein, and Senior Counsel to U.S. Senator Cory Booker, among other high-level roles on Capitol Hill.

**Thomas Kim**, a partner in Washington, D.C., is a member of the firm's Securities Regulation and Corporate Governance Practice Group. Mr. Kim focuses his practice on advising companies, underwriters and boards of directors on registered and exempt capital markets transactions, SEC regulatory and reporting issues, and corporate governance, as well as on general corporate and securities matters. Mr. Kim served for six years as the Chief Counsel and Associate Director of the Division of Corporation Finance at the SEC.

**Kristen Limarzi**, a partner in Washington, D.C., focuses on investigations, litigation, and counseling on antitrust merger and conduct matters, as well as appellate and civil litigation. Ms. Limarzi previously served as the Chief of the Appellate Section of the U.S. Department of Justice's Antitrust Division, where she led a team of more than a dozen professionals litigating appeals in the Division's civil and criminal enforcement actions and participating as amicus curiae in private antitrust actions.

**Jason J. Mendro**, a partner in Washington, D.C., represents clients in wide-ranging shareholder disputes, including securities class actions, challenges to mergers and acquisitions, and derivative lawsuits alleging breaches of fiduciary duties. Mr. Mendro also advises boards of directors and special litigation committees in conducting internal investigations and addressing shareholder litigation demands. He has earned national recognition, being named "Litigator of the Week" by *The American Lawyer* and a "Rising Star" by *Law360* and *Super Lawyers*.

**Adam M. Smith**, a partner in Washington, D.C., was the Senior Advisor to the Director of the U.S. Treasury Department's OFAC and the Director for Multilateral Affairs on the National Security Council. His practice focuses on international trade compliance and white collar investigations, including with respect to federal and state economic sanctions enforcement, the FCPA, embargoes, and export controls. He routinely advises multinational corporations regarding regulatory aspects of international business.

**Lori Zyskowski**, a partner in New York, is Co-Chair of the firm's Securities Regulation and Corporate Governance practice. She was previously Executive Counsel, Corporate, Securities & Finance at GE. She advises clients, including public companies and their boards of directors, on a wide variety of corporate governance and securities disclosure issues, and provides a unique perspective gained from over 12 years working in-house at S&P 500 corporations.

**Lora MacDonald**, an associate in Washington, D.C., practices in the firm's Litigation Department, focusing on white collar criminal defense and internal investigations. Ms. MacDonald has experience conducting internal investigations and advising clients on compliance with the FCPA and other anti-corruption laws. She also assists clients under investigation by the World Bank Integrity Vice Presidency and companies already subject to World Bank sanction.

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