

Webcast: Navigating the Minefield of Dodd-Frank's Whistleblower Provisions (2021 Update)

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SEC's Dodd-Frank Whistleblower Program continued to break records in FY 2021. The Office of the Whistleblower ("OWB") received over 12,200 whistleblower tips, by far the largest number of tips received since the beginning of the Program, from 99 foreign countries and every state in the Union. Additionally, the OWB handed out the largest whistleblower awards to date and processed the highest number of claims in FY 2021 since the Program began eleven years ago. The OWB also saw a change in leadership in FY 2021, with the longtime Chief Jane Norberg departing and with the new Acting Chief in office. Together, these trends and changes create the perfect storm for keeping in-house counsel and compliance professionals up at night. Securities Docket is pleased to present its eleventh annual webcast on Dodd-Frank's whistleblower provisions. This year's panelists once again include leading practitioners in all aspects of Dodd-Frank whistleblower litigation and investigations, including [F. Joseph Warin](#), [John W.F. Chesley](#), [Greta Williams](#), and [Nicole Lee](#) of Gibson Dunn, former SEC Office of the Whistleblower Chief Sean X. McKessy of Phillips & Cohen, and Jim Barratt of AlixPartners. This free, 90-minute webcast will include a dynamic and participatory discussion on the statutory and regulatory framework of Dodd-Frank's whistleblower provisions, their interpretation by the SEC Office of the Whistleblower and federal courts, and provide participants with practical tips for navigating the minefield of whistleblower complaints. [View Slides](#) (PDF)

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