GIBSON DUNN

Webcast: UK Financial services regulatory update: what happened in 2020 and what to expect in 2021 and beyond

Webcasts | January 27, 2021

Join Michelle Kirschner, Matthew Nunan, Steve Melrose and Martin Coombes in a review of financial services regulatory developments in 2020 and what these developments indicate about the future regulatory direction of travel.

The webinar will provide an overview of the regulatory landscape, now and in the coming years, through the prism of three areas of increasing regulatory focus: (1) governance, culture and individual accountability; (2) conduct and enforcement; and (3) operational and financial resilience. We will provide practical guidance to firms to ensure continuing compliance with regulatory expectations in each of these three areas. We will then focus on the UK's post-Brexit regulatory framework including the potential for regulatory divergence and the UK's role on the global stage.

We also invite you to read our recent <u>UK Financial Services Regulation – 2020 Year-End</u> <u>Review</u> published on 14 January.

View Slides (PDF)

PANELISTS:

Michelle M Kirschner: A partner in the London office. She advises a broad range of financial institutions, including investment managers, integrated investment banks, corporate finance boutiques, private fund managers and private wealth managers at the most senior level.

Matthew Nunan: A partner in the London office. He specializes in financial services regulation and enforcement, investigations and white collar defense having previously been the Head of Conduct Risk for EMEA at a major global bank. Prior to that he was Head of Wholesale Enforcement at the UK Financial Conduct Authority and has also been a case controller at the UK Serious Fraud Office.

Steve Melrose: An associate in the London office and a member of the Dispute Resolution and White Collar Defense and Investigations groups. His practice focuses on domestic and cross-border corporate investigations, regulatory investigations and whitecollar criminal matters.

Martin Coombes: An associate in the London office and a member of the Financial Institutions group. He specializes in advising on UK and EU financial services regulation, including a wide range of financial services and compliance issues including advice on UK

Related People

Michelle M. Kirschner

Martin Coombes

GIBSON DUNN

and EU regulatory developments, the regulatory aspects of corporate transactions and the on-going compliance obligations of financial services firms.

MCLE CREDIT INFORMATION:

This program has been approved for credit in accordance with the requirements of the New York State Continuing Legal Education Board for a maximum of 1.0 credit hour, of which 1.0 credit hour may be applied toward the areas of professional practice requirement.

This course is approved for transitional/non-transitional credit. Attorneys seeking New York credit must obtain an Affirmation Form prior to watching the archived version of this webcast. Please contact <u>CLE@gibsondunn.com</u> to request the MCLE form.

Gibson, Dunn & Crutcher LLP certifies that this activity has been approved for MCLE credit by the State Bar of California in the amount of 1.0 hour.

California attorneys may claim "self-study" credit for viewing the archived version of this webcast. No certificate of attendance is required for California "self-study" credit.

Related Capabilities

Financial Institutions

Financial Regulatory